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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pocius, et al.
Assignee: LumiLeds Lighting U.S., LLC
Title: Forming An Optical Element On The Surface Of A Light Emitting Device For Improved Light Extraction
Application No.: 09/823,841 Filing Date: March 30, 2001
Examiner: Unknown Group Art Unit: Unknown
Docket No.: M-9728 US

San Jose, California
June 21, 2002

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(c)
WITH CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)**

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Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants wish to call the documents listed on the enclosed Form PTO-1449 (1 page) to the Examiner's attention for the above-identified patent application. Copies of the listed documents are enclosed.

The relevance of German language reference WO 02/37578 A1 is explained by the English Language Translation.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

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The undersigned hereby certifies in accordance with § 1.97 that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement. This certification does not extend to any items of information cited herein which have previously been cited in this application.

EXPRESS MAIL LABEL NO:

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Respectfully submitted,

Mark E. Schmidt

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